## DEPOSITION TESTIMONY OF A DENTIST WHO INCORRECTLY REMOVED TWO ADULT TEETH INSTEAD OF TWO BABY TEETH; DEIDENTIFIED TRANSCRIPT

1	1
2	SUPREME COURT OF THE STATE OF NEW YORK COUNTY OF NASSAU
3	X
4	as $M/N/G$ OF , an infant, and
5	, Individually,
6	Plaintiff,
7	-against-
8	Index No.
9	, D.D.S., , D.D.S., P.C.
10	Defendants.
11	x
12	
13	
14	
15	March 23, 10:00 a.m.
16	EXAMINATION BEFORE TRIAL of
17	, D.D.S., the Defendant herein,
18	taken by the Plaintiffs, pursuant to Article
19	31 of the Civil Practice Law and Rules of
20	Testimony and Order, held at the
21	above-mentioned time and place, before
22	, Notary Public of the State of New
23	York.
24	
25 <page b<="" td=""><td>reak&gt;</td></page>	reak>

2	APPEARANCES:
3	
4	THE LAW OFFICE OF GERALD M. OGINSKI Attorney for the Plaintiff
5	25 Great Neck Road Great Neck, New York 11021
6	
7	
8	Attorney for the Defendant
9	
10	
11	Attorneys for the Defendant,
12	
13	BY
14	
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21	
22	
23	
24	
25 <page 1<="" td=""><td>Break&gt;</td></page>	Break>
5	
1	3
2	STIPULATIONS
3	IT IS HEREBY STIPULATED, by and between
4	the attorneys for the respective parties

- 5 hereto, that:
- 6 All rights provided by the C.P.L.R.,
- 7 and Part 221 of the Uniform Rules for the
- 8 Conduct of Depositions, including the right
- 9 to object to any question, except as to
- 10 form, or to move to strike any testimony
- 11 at this examination is reserved; and in
- 12 addition, the failure to object to any question
- or to move to strike any testimony at this
- 14 examination shall not be a bar or waiver to
- make such motion at, and is reserved to, the
- 16 trial of this action.
- 17 This deposition may be sworn to by the witness
- 18 being examined before a Notary Public other than
- 19 the Notary Public before whom this
- 20 examination was begun, but the failure to do so
- or to return the original of this deposition
- 22 to counsel, shall not be deemed a waiver of
- 23 the rights provided by Rule 3116 of the C.P.L.R.,
- and shall be controlled thereby.
- 25 The filing of the original of this <Page Break>

1

- 2 deposition is waived.
- 3 IT IS FURTHER STIPULATED, a copy of this
- 4 examination shall be furnished to the
- 5 attorney for the witness being examined
- 6 without charge.

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12
13
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17
18
19
20
21
22
23
24
25
<Page Break>
                           , D.D.S. 5
 1
 2
                            , D. D. S,
 3
       after having been first duly sworn by a
 4
       Notary Public of the State of New York, was
 5
       examined and testified as follows:
       EXAMINATION BY
 6
       MR. OGINSKI:
             Q State your name for the record,
 9
       please?
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11
             Q State your address, please.
12
             A Business address is
13
14
                 MR. OGINSKI: Mark this,
15
16
             please.
17
                   (Plaintiff's Exhibit 1, Panorex
             X-rays, were marked for
18
19
             identification.)
20
                  MR. OGINSKI: Mark this,
21
             please.
                  (Plaintiff's Exhibits 2 and 3,
22
23
             Documents, were marked for
24
             identification.)
25
                  MR. : We'll stipulate
<Page Break>
 1
                          , D.D.S. 6
 2
             to accept service.
 3
                  MR. OGINSKI: I was going to
 4
             ask you that.
 5
                  MR. : I know.
                  MR. OGINSKI: Good morning,
 6
 7
             Doctor.
                  THE WITNESS: Good morning.
 8
 9
             Q Did you remove
                      's lower canines?
10
             A Yes.
11
             Q That was done on January 10,
12
               ?
13
```

- 14 A Correct.
- Q Why did you remove the canines
- on her lower jaw?
- 17 A Well, I reviewed the X-ray this
- morning and I mean it was a long time ago so
- 19 I don't know exactly what was going through
- 20 my head at the time, but from reviewing the
- 21 X-rays this morning, it appears that the
- 22 canines, at that time, I believe were
- 23 children's canines.
- Q What was the purpose of
- 25 extracting any of those teeth that morning?
  <Page Break>
- 1 , D.D.S. 7
- 2 A To gain space for orthodontic
- 3 movement of teeth.
- 4 Q Did you learn after extracting
- 5 those teeth they were not baby teeth; they
- 6 were, in fact, permanent teeth?
- 7 MR. : Did he learn
- 8 that before the lawsuit?
- 9 MR. OGINSKI: Yes.
- 10 A No.
- Q What are supernumerary teeth?
- 12 A Supernumerary teeth are extra
- 13 teeth that most people don't have that some
- 14 people do.
- 15 Q How do you distinguish
- supernumerary teeth from a normal regular

- 17 tooth?
- 18 A Generally by location but it
- 19 could be very difficult to distinguish.
- 20 Q Is this something that can be
- 21 distinguished visually by grossly looking at
- 22 teeth?
- 23 A Well, you have to distinguish
- them on an X-ray and on an X-ray, you know,
- 25 a lot of teeth look very similar.
  <Page Break>
- 1 , D.D.S. 8
- 2 Q Are there instances where
- 3 supernumerary teeth are going in the
- 4 incorrect position or incorrect direction?
- 5 A It could be.
- 6 Q What is a deciduous tooth?
- 7 A I think that's the second --
- 8 that's a permanent tooth.
- 9 Q What are cuspids, Doctor?
- 10 A Canines.
- 11 Q What are bicuspids?
- 12 A Premolars.
- 13 Q And are those typically what
- 14 you would call baby teeth?
- MR. : Which ones?
- 16 Q Bicuspids.
- 17 MR. : I just want to
- 18 object to form. Can you put the
- 19 whole thing in one question?

- MR. OGINSKI: Sure.
- 21 Q Can you have bicuspid baby
- 22 teeth?
- 23 A No.
- Q Does the word bicuspid refer to
- 25 adult teeth?

<Page Break>

- 1 , D.D.S. 9
- 2 A Yes.
- 3 Q What are canine teeth?
- 4 A Just like any other tooth, it's
- 5 just they are called canine because they are
- 6 in a specific location. They have a
- 7 specific function and appearance in the
- 8 mouth.
- 9 Q And in terms of an adult mouth,
- 10 can you tell me the numbers of the teeth
- 11 that canines would represent?
- 12 A Which numbering system?
- 13 Q Whatever you use, Doctor?
- 14 A Six, 11, 22 and 27.
- 15 Q Six, 11, 22 and --
- 16 A Twenty-seven.
- 17 Q Is there a particular name for
- that numbering system that you just used?
- 19 A Yeah, there is. I don't recall
- though.
- Q What other systems are there
- besides the one that you just described?

- 23 A There's also other numbering
  24 systems that you number from here over
  25 (indicating).
  <Page Break>
- 1 , D.D.S. 10
- 2 MR. : Indicating the
- 3 front.
- 4 A Yeah, this would be one, two
- 5 three; one, two, three; one, two, three; one
- 6 two three.
- 7 MR. : The witness is
- 8 indicating from the center of the
- 9 mouth you would start the number
- 10 system which would go in either
- 11 direction out and increasing
- 12 numbers.
- 13 A Upper left three, you know,
- 14 upper right three, lower right three.
- 15 Q Which system do you tend to
- 16 use?
- 17 A The first system.
- 18 Q And how many adult teeth are
- 19 there generally?
- 20 A Thirty-two.
- 21 Q And how many baby teeth are
- there generally?
- 23 A Twenty.
- Q And when you're identifying
- 25 baby teeth, how do you identify them in <Page Break>

```
1
                            , D.D.S. 11
 2
       terms of number, letter or something else?
                  Baby teeth generally are
 3
       lettered whereas adult teeth are generally
       numbers.
              Q What are premolars, Doctor?
              A It's just another type of tooth
       in the mouth.
 9
                  Where are those premolars
       located? You can tell me based upon your
10
11
       numbering that you described.
12
             A That would be four, five, 12,
       13, 20, 21, 28, 29.
13
              Q
                  What is the maxilla?
14
                  Upper jaw.
15
              Α
16
              Q
                  And the mandible?
              Α
                  Lower jaw.
17
                   The first molar is where within
18
19
       the mouth?
20
                    It is from the back the third
       tooth in and from the front the six tooth
21
22
      back.
                  And is that true of baby teeth
23
24
       as well as adult teeth?
             A The first molar?
<Page Break>
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1 , D.D.S. 12

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2 Q Yes.
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- 3 A No.
- 4 Q And for baby teeth, where is
- 5 the first molar?
- 6 A The first molar is directly
- 7 behind the baby canine.
- 8 Q In an adult where is that first
- 9 molar located?
- 10 A It's behind the premolars.
- 11 Q The cuspid is where?
- 12 A In front the premolars.
- 13 Q And what number teeth would you
- 14 assign them for an adult?
- 15 A The cuspids?
- 16 O Yes.
- 17 A Didn't I give you that already?
- 18 Q You talked about the canine and
- 19 you talked about the premolars?
- 20 A The canines and the cuspids are
- 21 the same.
- 22 Q And the premolars and the
- 23 bicuspid you said are the same?
- 24 A Yes.
- 25 Q Now, would you agree, Doctor,  $\ensuremath{\text{\mbox{\scriptsize Page Break}}}$ 
  - 1 , D.D.S. 13
  - 2 that a dentist who's licensed to practice in
  - 3 the State of New York should be able to
- 4 recognize the difference between a molar and

- 5 a cuspid? MR. : Objection to the form. I think it's very vague. MR. OGINSKI: I'll rephrase it. Q All of my questions are going 9 to relate to the time period of 10 11 January , okay --A Yes. 12 13 Q -- unless I indicate otherwise. 14 In January of , you were 15 licensed to practice dentistry in the State of New York? 16 A Right.
- 17
- 18 For how long have you been in
- 19 practice approximately?
- A Two years. Private practice 20
- 21 maybe one year.
- 22 Now, on the date that you saw
- 23 on January 10th that was
- the first date that you saw her, correct? 24
- A As far as I can remember. <Page Break>
- , D.D.S. 14 1
- 2 Q You had never seen or treated
- 3 her before that date?
- Not according to the chart
- notes.
- Q How was it that you came to
- treat her on that date?

```
8
            A She had a referral from an
       orthodontist.
10
             Q And the office where you were
11
       working that was ?
12
             A Yes.
13
             Q And what was your relationship
       with at that time?
14
       Were you employed? Were you an owner? Were
15
       you a shareholder? What was your
16
17
    affiliation?
18
                 Employee.
             A
                 And who employed you? In other
19
20
      words, who did you have your agreement with,
21
     as far as you know?
                  MR. : Objection to
22
23
             form.
24
            A What agreement?
25
            Q
                 Who hired you?
<Page Break>
                         , D.D.S. 15
1
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_			, 2.2.3.
2	А		
3	Q	Who's	?
4	А	I guess	he's like an office
5	manager ther	е.	
6	Q	And did	you have a written
7	contract?		
8	А	I don't	remember.
9	Q	Did you	receive a check from
10	on a reg	ular bas	is?

- 11 A Yes.
- 12 Q Did they take taxes out of your
- 13 check?
- 14 A Yes, I think so.
- 15 Q And if you wanted to take
- 16 vacation time, did you have to square it
- away with the office to make sure that that
- 18 time was good for them and for you?
- 19 A I believe so.
- 20 Q Was your name on the door
- 21 anywhere?
- 22 A No.
- 23 Q Was your name on any of the
- 24 billing statements?
- 25 A I have no idea. I don't think <Page Break>
- 1 , D.D.S. 16
- 2 so.
- 3 Q Was your name on any of the
- 4 insurance documents sent to various health
- 5 insurance companies for payment?
- 6 A It's possible.
- 7 Q Anything that you recall as you
- 8 sit here today?
- 9 A It was a long time ago. I was
- 10 working in three offices at the time.
- 11 Honestly, I only worked there one or two
- 12 times a week. I don't remember.
- Q Did you have business cards

- 14 made up with your name on it at
- 15 ?
- 16 A I don't think so.
- 17 Q Were you required to obtain
- 18 your own malpractice insurance?
- 19 A Yes.
- 20 MR. : Just note my
- 21 objection to the last question in
- 22 terms of its use at trial.
- 23 Q Before examining
- , did you review her chart?
- 25 A Generally, especially before <Page Break>
  - 1 , D.D.S. 17
  - 2 any surgical treatment, I'll always go over
  - 3 the medical history. According to my notes
- 4 it says I did review.
- 5 Q Did you learn that this was a
- 6 patient of Dr. ?
- 7 A Well, I see several different
- 8 handwritings in the chart so I don't know
- 9 who, you know, generally saw her. But, you
- 10 know, there's chart entries from several
- 11 different people here. I don't know that
- 12 they are all his; that it was only his
- 13 patient. It's hard to tell.
- 14 O When and her mom came
- in on January 10th, , did they
- specifically ask for you as their dentist?

- 17 A I don't recall.
- 18 Q In other words, on the day that
- 19 you were working, how was it that you
- 20 treated her instead of any of the dentists
- 21 who had treated her before?
- 22 A Maybe because there may have
- 23 not been any other dentist in the office
- 24 that day.
- 25 Q Doctor, can you have deciduous <Page Break>
- 1 , D.D.S. 18
- 2 supernumerary teeth?
- 3 A Yes.
- 4 Q How would you be able to
- 5 distinguish between deciduous supernumerary
- 6 teeth and adult supernumerary teeth?
- 7 A I thought deciduous was baby
- 8 teeth.
- 9 Q You had mentioned that they
- 10 were permanent.
- 11 A Well, adult teeth are permanent
- as well so deciduous are also adult teeth.
- 13 Q Let me rephrase it. Are there
- 14 supernumerary baby teeth?
- 15 A There could be, sure.
- 16 Q How do you distinguish between
- 17 a supernumerary baby tooth as opposed to a
- supernumerary adult tooth?
- 19 A I'm not sure.

- Q You are a general dentist,

  correct?

  A Yes.

  You are not an oral surgeon?

Nope.

- 1 , D.D.S. 19
- 2 oral surgeon continues on, does training
- 3 after dental school?

- 4 MR. : Objection. I
- 5 don't know that's in the scope of
- 6 his knowledge.
- 7 MR. OGINSKI: Whatever his
- 8 knowledge is.
- 9 Q Based upon your information?
- 10 MR. : Over my
- objection, if you know. Do you know
- 12 what training oral surgeons receive?
- 13 THE WITNESS: Yes.
- MR. : Okay. Over my
- 15 objection.
- 16 Q How much additional training do
- they receive typically after dental school?
- 18 A Well, academically there is
- 19 four and six-year programs. In the clinics,
- 20 I believe both certifications receive
- 21 four years training so it's a six or
- four-year program.

- 23 Q For schooling and then some
  24 type of additional training after that?
  25 A No. Dental school is
- 25 A No. Dental school is <Page Break>
- 1 , D.D.S. 20
- 2 four years. To become an oral surgeon you
- 3 have an additional four to six years.
- 4 Q In addition to the school?
- 5 A Yes.
- 6 Q As a general dentist,
- 7 typically, after graduating dental school is
- 8 four years, correct?
- 9 A Dental is four years.
- 10 Q And some dentists go on to do
- 11 some type of residency?
- 12 A Yes.
- 13 Q You did a one-year residency,
- 14 correct?
- 15 A A little over a year.
- 16 Q That was at Hospital?
- 17 A Correct.
- 18 Q You did not do any type of
- 19 fellowship, correct?
- 20 A I did do a fellowship in
- 21 implant dentistry but this was after this
- 22 case. It's recent.
- 23 Q You did not do any residency in
- oral surgery?
- 25 A It was a general practice <Page Break>

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1 , D.D.S. 21
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- 2 residency but it was highly geared towards
- 3 oral surgery.
- 4 Q Would you agree, Doctor, that
- 5 an oral surgeon residency is different than
- an general dental residency, correct?
- 7 A Yes.
- 8 Q In the course of your general
- 9 dental practice, you perform extractions,
- 10 correct?
- 11 A Yes.
- 12 Q When do you send a patient out
- to an oral surgeon to have extractions done?
- 14 A When there's risk involved of
- nerve damage, medical history risk. You
- 16 know, if there's extenuating circumstances
- 17 based on their medical history; heeling
- 18 problems. Not that often.
- 19 Q How would you know if there was
- 20 a possible nerve involvement in anticipation
- of performing an extraction?
- 22 A From an X-ray you can see the
- 23 proximity of the tooth to a nerve.
- Q And what would lead you, at
- 25 that point, after evaluating an X-ray and  $\langle Page \ Break \rangle$

- realizing that there's something at risk or 2 there's a risk of doing this, that you then send a patient out to an oral surgeon? MR. : Objection to form. Can you just read it back? MR. OGINSKI: I'll rephrase it. Explain to me why you would send a patient to an oral surgeon for an 10 extraction as opposed to you doing it? 11 MR. : In addition to 12 what he already said because he said if a film showed that it was close 13 to a nerve, the root was close to a 14 15 nerve. 16 MR. OGINSKI: Okay. MR. : I don't know if 17 that's his only reason he would. 18 19 Under those circumstances, why would you send a patient to a oral surgeon 20 as opposed to you doing it? 21 22 Difficulty and risk. 23 What would the oral surgeon be 24 able to do that you might not be able to do?
- 1 , D.D.S. 23

Α

25

<Page Break>

2 with you. I'm an excellent surgeon but when

Probably nothing to be honest

- 3 it comes to risk, oral surgeons have much
- 4 better malpractice insurance. Or if I think

```
5
       that something could happen, whether it was
       going to happen in my hands or his hands, it
       would happen either way, but he is more
       equipped to deal with the situation if it
 9
       does happen because he has that extra
10
       training that I don't have.
11
                    MR. : I'm going to
12
              make a motion at the time of trial
13
              to take out those portions that
14
              refer to malpractice insurance;
15
              otherwise that's a good stand.
                    Is it your opinion, Doctor,
16
       that in general most oral surgeons have more
17
18
       experience dealing with extractions than
19
       general dentists?
20
              Α
                    Some.
21
                    How close does the nerve need
22
       to be to the root or to the tooth in order
       for you to make that decision about should I
23
       do it or should I send it out?
24
                    MR. : Objection. Can
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you just read that back.

(Whereupon, the requested

portion of the transcript was read

back by the court reporter.)

MR.: Objection to

, D.D.S.

24

<Page Break>

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8
                     It's not a matter of
        measurement. I make these decisions based
10
        on so many factors. It's not just where,
        you know, the nerve is. I've taken teeth
11
12
        out that were very close to the nerve.
                     There's a lot of factors that
13
14
        play into it; how the tooth is impacted.
15
        You know, the patient, if they're a patient
16
        that's nervous they need to be knocked out
17
        for the procedure, I don't knock patients
18
        out. So, you know, all those factors play
        into it, not just the proximity of the
19
        nerve. Patient management as well because
20
21
        of, you know, anesthesia that I don't do.
22
                     Now, when
                                   came into
        your office, did you see that there was a
23
        request from her orthodontist to remove
24
25
        specific teeth?
<Page Break>
```

2 Α Yes. 3 And do you see that note in the chart that you have in front of you which is 4 5 a photocopy? 6 Α Yes. 7 Can you show that to me, please. 8 9 (Complying.) Α

0

, D.D.S.

That's the letter dated

25

1

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December 17, from Dr.
11
12
     correct?
13
            A Yes.
            Q And that's addressed to Dr.
14
15
16
            A
                Yes.
17
             Q Did you see a consult letter
      from Dr. to Dr. about
18
      his examination of the patient around
19
20
   December
21
                  MR. : Are you asking
22
             if it's in this group of documents
23
             here?
24
                 MR. OGINSKI: No. I'm going to
25
            rephrase it.
<Page Break>
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1	, D.D.S. 26
2	Q When you saw the patient on
3	January 10th, in addition to this letter,
4	did you see another letter from Dr.
5	to Dr. ?
6	MR. : Do you have a
7	recollection of it?
8	A Honestly, I don't remember.
9	But, you know, I'm not sure.
10	MR. : Did you see any
11	documents that were not part of the
12	chart? Did you have any other
13	documents outside of the chart?

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14
                  THE WITNESS: Not that I know.
15
                  MR. : Counsel, is this
16
             a full chart? Are we saying that
             this is a full chart?
17
                  MR. : That's my
18
19
             understanding.
                  MR. : We have
20
             Plaintiff's Exhibit 3 dated
21
             March 23rd, It is one, two,
22
23
             three, four, five, six pages that
24
             are stapled together and it's been
25
             indicated that this is the full
<Page Break>
```

1	, D.D.S. 27
2	chart or copy of the full chart and
3	counsel now will be providing
4	something which
5	Q Doctor, I'm going to show you a
6	letter dated December 17, that is from
7	Dr. to Dr Have you
8	ever seen that letter before?
9	A I'm not sure.
10	Q That letter discusses his
11	examination and evaluation of the patient
12	from an orthodontic standpoint, correct?
13	A I'm sorry?
14	Q That letter that you you've
15	just looked at that your attorney is also
16	looking at, that discusses Dr. 's

- 17 examination and evaluation of the patient
- 18 from an orthodontist standpoint?
- 19 A Yes.
- 20 Q It also makes recommendations
- in there in order to accomplish his
- 22 orthodontic treatment of what needs to be
- done from a general dental perspective,
- 24 correct?
- 25 In other words, he makes <Page Break>
- 1 , D.D.S. 28
- 2 recommendations that certain teeth need to
- 3 be extracted?
- 4 A Yes.
- 5 Q Doctor, if you can take a look
- 6 at the letter that you've shown me that
- 7 appears in the chart of the
- 8 and these recommendations that he
- 9 makes compared to the letter that I've just
- 10 shown you about the orthodontic treatment
- and evaluation, is it your understanding
- just looking at the two that they are the
- 13 same? I'm asking specifically about the
- teeth that he is recommending be removed.
- 15 A Yes.
- MR. OGINSKI: Mark this.
- 17 (Plaintiff's Exhibit 4, Letter,
- was marked for identification.)
- 19 A I made a mistake in the wording

- of a deciduous tooth versus a permanent.
- 21 Q Tell me, Doctor, what is a
- deciduous tooth?
- 23 A A baby tooth.
- Q Is there any way for you to
- 25 make a distinction or determine the <Page Break>
- 1 , D.D.S. 29
- 2 difference between a baby tooth and an adult
- 3 tooth?
- 4 A Yes.
- 5 Q How do you clinically make the
- 6 distinction?
- 7 A Location, age of the patient,
- 8 X-ray, evaluation.
- 9 Q Now, when you extract a tooth
- 10 are there instances where you will need to
- 11 cut the bone or create a gum flap?
- 12 A Yes.
- 13 Q Are there instances where you
- 14 need to suture the patient?
- 15 A Yes.
- 16 Q Would you agree, Doctor, that
- if your intent is to remove a baby tooth and
- instead you removed an adult tooth that
- 19 would be a departure from good and accepted
- 20 dental care?
- MR. : Note my
- 22 objection to the form. You're

- 23 asking for a legal conclusion.
- Q Well, Doctor, if you intend to
- 25 remove a baby tooth and for whatever reason <Page Break>
- 1 , D.D.S. 30
- 2 instead don't remove a baby tooth but
- 3 instead remove a permanent tooth, would you
- 4 agree that would be a departure from good
- 5 and accepted dental care?
- 6 MR. : Again, my
- 7 objection to form. In this
- 8 circumstance? In this situation?
- 9 MR. OGINSKI: In general.
- 10 MR. : Can you answer
- 11 that in general? Is that an answer
- 12 you can give as a general
- 13 proposition?
- 14 A You mean to pull a baby tooth
- and you pull a permanent tooth, is that
- 16 what?
- 17 Q Is that a departure from good
- 18 care?
- 19 MR. : Note my
- 20 objection to the form.
- 21 A I guess, yes.
- Q Tell me why.
- 23 A Because when you pull a tooth
- you should pull the proper tooth, you know.
- 25 Q Now, when Dr. made  $\langle \text{Page Break} \rangle$

```
1
                             , D.D.S.
                                      31
 2
       specific requests about teeth that he was
       asking to have extracted, this was not your
 3
       treatment plan, correct, this was his
       request and Dr.
                              's treatment plan
       correct?
                    Yes.
                    You would agree, Doctor, that
9
       before extracting any of those teeth that
       you correctly identify which teeth those
10
       were before performing the extraction,
11
12
       correct?
13
                    Yes.
                    Did you have a conversation
14
       with the patient, with
15
                                 and her mom,
16
       before doing any extractions about whether
17
       to send her to an oral surgeon to have these
       extractions done?
18
19
                     I don't recall.
20
                    Tell me why you chose to
21
       perform extractions on this patient on
       January 10th instead of sending her to an
22
       oral surgeon?
23
24
                    MR. : Note my
              objection to the form. Over
<Page Break>
```

1 , D.D.S. 32

- 2 objection you can respond.
- 3 A I've pulled tens of thousands
- 4 of teeth. These appeared to be, you know,
- 5 simple extractions. They did not seem
- 6 complicated in any way or risky.
- 7 Q As part of your discussion with
- 8 the patient or with the patient's parent or
- 9 mother in this case -- Let me rephrase.
- 10 Did you have a discussion with
- and her mom that they have the
- 12 option to go to an oral surgeon to have
- 13 these extractions done?
- 14 A I don't recall.
- Q When you evaluate and determine
- 16 that the treatment plan to extract teeth are
- 17 what you said simple, relatively
- 18 straightforward, would you still have a
- 19 discussion with the patient or the patient's
- 20 parent about an option of going to an oral
- 21 surgeon?
- 22 A Could you repeat that?
- Q Under what circumstances do you
- 24 discuss with the patient or the patient's
- 25 parent the option of going to an oral <Page Break>
  - 1 , D.D.S. 33
- 2 surgeon to have extractions done?
- 3 A When I feel that the extraction
- 4 is out of my realm of dentistry.

- 5 Q You felt that was not something
- 6 out of the realm of what you could
- 7 accomplish, correct?
- 8 A Correct.
- 9 Q Would it be fair to say based
- 10 upon that, that it would be unlikely that
- 11 you would have had a discussion with the mom
- 12 about going to an oral surgeon to have the
- 13 extractions done?
- 14 A I suppose. I'm not a hundred
- 15 percent.
- 16 Q Okay. If there were any teeth
- 17 that Dr. was asking to be
- 18 extracted that you were unsure where they
- 19 were anatomically, would you agree it would
- 20 be good dental practice not to pull a tooth
- 21 you were unsure whether or not it was the
- 22 correct tooth?
- 23 A I would never pull a tooth if I
- 24 was unsure of what it was.
- 25 Q What would your options be if,  $\mbox{\em Page Break}\mbox{\em P$
- 1 , D.D.S. 34
- 2 in fact, you were unsure about anatomically
- 3 where that tooth was, whether it was the
- 4 correct tooth that was being asked to be
- 5 removed?
- 6 MR. : This is
- 7 hypothetical so I guess you should

- 8 phrase it as one because he stated that was not what he believed it to 10 be at the time. Hypothetically. 11 If a doctor asked you to remove 12 a particular tooth and you were unclear 13 whether or not it was the correct tooth that 14 you were about to extract, what would your 15 options be at that point? 16 A You're saying that if someone 17 asked me to pull a tooth I was unsure? I'd 18 probably call the doctor. 19 Why? Just to verify, I guess, which 20 21 tooth he wants me to pull. 22 Now, Doctor, if you remove a permanent tooth, you can't simply put it 23 back in if you learned it's the wrong tooth, 24
- 1 , D.D.S. 35 2 You could immediately. But 3 once it's been out for a certain amount of 4 time, you can't. 5 What is a certain amount of 6 time? 7 Depends. You know, it has to be under a half hour. Normally, if you 9 remove a tooth, it needs to go back in

within a half hour. I think anything over

25

10

<Page Break>

correct?

- 11 two hours the odds stop dropping
- 12 drastically.
- 13 Q Now, you told me on the first
- 14 question I asked you and you said that you
- 15 had removed 's canine teeth on her
- 16 lower jaw, correct?
- 17 A Correct.
- 18 Q That would be on both the right
- 19 and the left?
- 20 A Yes.
- 21 Q If you look at Dr. 's
- letter from December 17, , where within
- that request does it indicate that he would
- like those two canine teeth in the lower jaw
- 25 removed?

<Page Break>

- 1 , D.D.S. 36
- 2 A It doesn't.
- 3 Q Can you explain to me if Dr.
- 4 did not request that those teeth
- 5 be removed why you chose to remove those
- 6 particular teeth?
- 7 A I mistook the permanent canines
- 8 for the deciduous canines due to the
- 9 positioning on the X-ray.
- 10 Q Would you agree, Doctor, that
- 11 by removing permanent canines when instead
- 12 your intention was to remove deciduous
- 13 canines, that that would be a departure from

good and accepted dental care? 14 15 Α Sorry? 16 MR. : Over my objection you can answer. 17 Would you agree, Doctor, that 18 19 by removing the permanent canines when, in 20 fact, your intention was to remove the deciduous canines, that would be a departure 21 22 from good and accepted dental care? 23 MR. : Again, objection 24 to form. 25 Yeah, I guess. Α

<Page Break>

1

, D.D.S. Tell me what is the implication 2 3 to the patient for removing permanent teeth 4 when, in fact, you were intending to remove 5 baby teeth? MR. : For this patient? MR. OGINSKI: Yes. MR. : What the 9 ultimate result would be; is that 10 what you're asking? 11 12 MR. OGINSKI: Not yet. 13 The fact that you removed 14 permanent teeth when the permanent teeth were not intended to be removed, what's the 15 16 implication from a general dental

- 17 standpoint?
- 18 A Well, typically, children at
- 19 her age have permanent teeth removed all the
- 20 time for orthodontic space. I think I more
- 21 commonly remove permanent teeth to gain
- 22 space orthodontically than I do baby teeth.
- 23 Q If the permanent canines are
- 24 removed, doesn't that then leave a
- 25 significant gap in the lower jaw? <Page Break>
- 1 , D.D.S. 38
- 2 A It depends on the space. What
- 3 I'm trying to say is permanent teeth are
- 4 removed every day to make space so that
- 5 teeth can be aligned properly
- 6 orthodontically.
- 7 Q Let's talk about this patient
- 8 specifically. This patient's orthodontist,
- 9 Dr. , had evaluated this patient
- 10 and came up with this treatment plan that
- 11 these were the teeth to be extracted in
- 12 order to make more space.
- 13 Any of these teeth that he
- 14 requested to be removed, are any of these
- permanent ones that's listed in this letter?
- 16 A The bicuspids.
- 17 Q The supernumerary first
- 18 bicuspids on the lower?
- 19 A Yeah. Didn't we say that

- 20 bicuspids are a permanent tooth; that
- 21 children do not have bicuspids?
- 22 Q Those are not the canines that
- you removed, correct?
- 24 A No.
- - 1 , D.D.S. 39
  - 2 copy of a Panorex X-ray taken on
  - 3 September 29, which has been marked
  - 4 today as Plaintiff's Exhibit 1 for
  - 5 identification and I'll ask you to take a
  - 6 look at that. Do you see that, Doctor?
  - 7 A Yes.
  - 8 Q At the time that you saw
- 9 on January 10, , did you have a
- 10 copy of the Panorex X-ray, of this
- 11 particular Panorex X-ray?
- 12 A According to the chart records,
- 13 yes.
- 14 Q And you would agree it's good
- practice to refer to X-rays prior to
- performing an extraction, correct?
- 17 A Yes.
- 18 Q And you want to identify
- 19 anatomically where a tooth is before
- 20 extracting it, correct?
- 21 A Yes.
- 22 Q You also want to identify if

```
there is any nerves close to the root of the
```

- 24 tooth, correct?
- 25 A Yes.

<Page Break>

- 1 , D.D.S. 40
- 2 Q And you want to see what the
- 3 adjacent structures are as well?
- 4 A Yes.
- 5 Q And X-ray will help you
- 6 identify the bone and see if there are any
- 7 anomalies or any problems in the area where
- 8 the extraction is to be performed?
- 9 A Yes.
- 10 Q In addition to that Panorex,
- 11 Doctor, you also took bitewing X-rays on
- 12 January 10th?
- 13 A It's possible.
- 14 Q Do the notes that you have in
- 15 front of you, the chart, reflect that you
- 16 took any X-rays that day?
- 17 A No.
- 18 Q Do you have the billing
- 19 statement in there, Doctor?
- 20 A Yes.
- Q Does the billing statement
- 22 reflect that the patient was billed for
- 23 X-rays taken that day?
- 24 A Yes.
- 25 Q And what X-rays does it <Page Break>

```
1
                             , D.D.S. 41
 2
       indicate were done that day?
               A It says, exam for bitewing and
 3
       two bitewing. That's not my handwriting
 4
       though.
                    I'm just asking if there's
       something that indicates that X-rays were
 8
       done that day?
9
              Α
                    Yes.
                    Now, in your handwritten note
10
11
        for your treatment of this patient, do you
12
       indicate that X-rays were taken before
       performing extractions?
13
14
              Α
                    No.
15
                    Do you indicate anything about
16
       X-rays having been taken that day?
17
                    No.
                    Would you agree that it's
18
19
       better to take X-rays before doing
       extraction rather than after?
20
21
              Α
                    Yes.
22
               Q
                    Why?
23
                    Because after it's too late.
               Α
24
       What purpose would an X-ray after a surgery
       serve you?
```

```
2
                     Okay. Doctor, on the Panorex
        film, which is Plaintiff's 1 for
        identification, can you point to me, please,
        where the supernumerary first bicuspids are
        on this document?
                     (Pointing.) Here.
                     Okay.
                     MR. OGINSKI: Counsel, can I
 9
10
              have him mark with an X or a line
11
              just to identify? If you can just
12
              draw a line straight down.
                     (Complying.)
13
                     Which ones are the
14
15
        supernumerary first bicuspids?
16
                     (Complying.)
                     Okay. Doctor, I've just
17
        written on the document duplicate
18
19
        supernumerary first bicuspids where you put
20
        the arrows.
21
                     Can you identify, please, on
22
        this X-ray where are the permanent canines
23
        on the lower jaw that you actually removed?
24
               Α
                     (Complying.)
25
                     Okay. You marked that with an
<Page Break>
```

2 X on both left and right, correct, on the

3 lower jaw?

4 A Yes.

```
5
              Q Can you show me, Doctor, where
       is the deciduous maxillary right first
 7
       molar?
                  Maxillary?
 9
              Q
                  Yes.
              A Here (indicating).
10
11
              O Make a little circle to
       differentiate it.
12
13
              Α
                  (Complying.)
14
              Q Let me identify that. Can you
15
       tell me, please, where the deciduous
       maxillary right cuspid is?
16
17
                    Here (indicating).
18
                    Doctor, where is the deciduous
19
       maxillary left cuspid?
                   (Complying.)
20
21
                    Can you please show me where
22
       the deciduous mandibular left first molar
23
       is?
24
              A (Complying.)
                  Can you please point out,
              Q
<Page Break>
```

```
Doctor, the deciduous mandibular right first
molar?

A (Complying.)

Q I'd like to show you, Doctor,
Plaintiff's Exhibit 2, which is another
Panorex taken of . This
```

1

, D.D.S. 44

```
one is taken on March 27, .
                  MR. : This is after
10
             the treatment.
                 MR. OGINSKI: Correct.
11
                 MR. : So because it's
12
13
            after the treatment and it would not
            be something that he would have
14
15
            reviewed for today, I'm going to
16
            object to it. Subsequent treatment
            records, he is not really here to
17
            testify to that.
18
19
             Q Is it true, Doctor, that you
20
   did not see after January 8th, ?
21
            A
                 Yes.
                 As you mentioned, you did not
22
     take any X-rays after the extractions,
23
24
     correct?
            A No.
<Page Break>
```

1	, D.D.S. 45
2	Q I want you to take a look at
3	this Panorex X-ray which was taken
4	admittedly after you had last seen her.
5	This is on March 27, by Dr
6	Just looking at this
7	MR. : I'm going to
8	object again. You're asking him to
9	review subsequent records and make
10	comments. He is here to testify on

11	his care and treatment.
12	MR. OGINSKI: I'm not asking
13	him to comment on the subsequent
14	care and treatment, I'm asking him
15	to make an observation about what is
16	contained on the X-ray. That's all
17	based on his treatment of her on
18	January 10th.
19	MR. : I don't think
20	it's proper to ask him to comment on
21	subsequent treatment records. It's
22	just not done. It's not his care
23	and treatment.
24	MR. OGINSKI: The only reason I
25 <page break=""></page>	ask is because he does not have any

1	, D.D.S. 46
2	subsequent X-rays to show and it's
3	as if we have before and after
4	photographs.
5	MR. : I understand but
6	you have Dr You can
7	ask him all the questions you want.
8	It's not proper to have him do that.
9	MR. OGINSKI: I disagree. I
10	don't want to argue with you about
11	it.
12	MR. : I gave you all
13	the leeway on the first one. I have

```
14
             not objected to you marking it up.
15
            Identifying is not proper for him to
16
            have to look at subsequent treatment
             records. It's just not proper.
17
                  Doctor, in your general
18
19
       practice, you review X-rays every day,
20
    correct?
21
             A Yes.
22
                   Patients will sometimes come to
   you with X-rays from prior treatment,
23
24
   correct?
25
             A Yes.
<Page Break>
```

2	Q You will take X-rays on your
3	own in your own office, correct?
4	A Yes.
5	Q Simply looking at the X-ray
6	I've provided to you, the one taken on
7	March 27, , in the Panorex film is there
8	evidence of any permanent canine teeth on
9	this film?
10	MR. : Okay. Again, I
11	have to object because I don't think
12	it's appropriate for him to have to
13	comment on subsequent care, and I'm
14	not sure where you're leading.
15	If this is the only question
16	you're going to ask about this

```
17
              document, I'll permit it over my
18
              objection but if you're trying to
19
              use it to open the door to ask him
20
              what should be done for this
              patient --
21
22
                    MR. OGINSKI: No, no, I only
              want to make an observation about --
23
24
                    MR. : You understand
25
              why I'm objecting?
<Page Break>
```

1 , D.D.S. 48 2 MR. OGINSKI: I got it. 3 MR. : So this is the one and only question you're asking on this. Over my objection, you can 5 answer. 6 You want to know if there are 7 canines on the bottom here? 8 9 Correct. 10 Α No. 11 Thank you. Doctor, I want to 12 show you X-rays that were provided to me by in this pack and 13 there are four of them here dated 14 15 January 10, . And also, in a separate 16 one, which is a PA film also, if we can just keep them separate. 17 18 А (Complying.) They are already 19 mixed.

- 20 Q Okay.
- 21 A These are four bitewings.
- These are all deciduous teeth. I mean this
- 23 X-ray -- Where did you get this X-ray from?
- Q It came within the material
- 25 provided to me by ,

- 1 , D.D.S. 49
- when we asked them, in a packet with an
- 3 envelope.
- 4 A According to this X-ray it
- 5 looks like the permanent canines are still
- in the bone and those are deciduous canines.
- 7 If you want to take a look at that.
- 8 MR. : So you're saying
- 9 that this supports --
- 10 A The extractions. Believe it or
- 11 not, yeah.
- 12 MR. : That was taken
- 13 before the extractions.
- 14 A If this is her mouth, even now
- 15 looking at this, I would say that the
- 16 deciduous canines are present and that these
- 17 are -- the permanent canines are directly
- 18 below.
- 19 MR. : This would have
- 20 to be preoperative.
- 21 A Yeah.
- 22 MR. : This is coming

- out of an envelope that is dated 2
- PA, four bitewings, 1/10/.
- 25 Q Without seeing the original <Page Break>
- 1 , D.D.S. 50
- 2 records or the original films, is there any
- 3 way for you to identify that small little
- 4 film in your hands as being 's as
- 5 opposed to any other patient?
- 6 A Just by what's labeled on the
- 7 envelope here (indicating).
- 8 Q And when you take these
- 9 bitewing X-rays and the PA X-rays, do they
- get put into some little page that gets
- 11 clipped in?
- 12 A They get put in these envelopes
- and the envelopes get put in the chart.
- 14 Q There is no actual identifying
- 15 information on that small little X-ray for
- 16 the patient's name or other patient
- information, correct?
- 18 A Not on any of the X-rays. It
- 19 looks that way.
- 20 MR. : Doctor, just to
- 21 be clear, your review of that
- 22 particular X-ray, what type of film
- is that?
- 24 THE WITNESS: Periapical.
- 25 MR. : And it includes

1	, D.D.S. 51
2	which teeth?
3	THE WITNESS: It has permanent
4	twenty It looks like it has
5	permanent 22 through 27.
6	MR. : In your opinion,
7	that supported the type of
8	extraction that you performed that
9	day?
10	THE WITNESS: Yes.
11	MR. : Do you know
12	whether you saw that particular film
13	before you did the extraction?
14	THE WITNESS: It would make
15	sense that this film would, you
16	know, cause me to do those
17	extractions.
18	Q Which extractions are you
19 referr	ring to?
20	A The extractions that were done
21 the da	y of treatment.
22	MR. : Do you know who
23	took that film?
24	THE WITNESS: I don't.
25 <page break=""></page>	Q Doctor, let's be specific. The

```
2 canine, the adult canines that you removed,
```

- 3 are they visible in that PA that you're
- 4 holding?
- 5 A Yes, nut they are under the
- 6 gum. So they could not have been removed
- 7 according to this X-ray.
- 8 Q Wait a minute. I want to
- 9 understand.
- 10 A The permanent canines are still
- in the bone in this X-ray is my point.
- 12 Q That would be a preextraction
- 13 X-ray?
- 14 A Preextraction X-ray which means
- for me to get to those teeth there is no way
- 16 that I could have taken these teeth out that
- 17 day.
- 18 MR. : Can you look at
- 19 the Panorex and that film and say
- 20 whether or not --
- 21 A It doesn't make sense to be
- 22 honest with you.
- 23 Q Just based upon what you've
- 24 told us, is it possible that that film that
- 25 you're holding in your hand is not this
  <Page Break>
  - 1 , D.D.S. 53
- patient's X-ray?
- 3 A I mean it's possible that any
- 4 of these films are not the patient's X-ray.

- 5 I don't have the patient in front of me to
- 6 verify.
- 7 Q Based upon the Panorex film,
- 8 based upon the two Panorex films that I have
- 9 in front of you.
- 10 A I'm saying according to this
- 11 film the deciduous canines are still
- 12 present.
- 13 MR. : Because they are
- 14 under the bone.
- THE WITNESS: No, the baby
- 16 canines are still present according
- 17 to this film.
- 18 MR. : Okay.
- 19 THE WITNESS: There is two sets
- of canines here in this film.
- 21 MR. : Can you compare
- it to the Panorex and say whether
- it's different?
- 24 THE WITNESS: I mean incisors
- 25 look the same. It's amazing. I'm <Page Break>
  - 1 , D.D.S. 54
  - 2 amazed by the discrepancy here. I
  - 3 don't understand.
  - 4 Q Now, if you were presented with
  - 5 this discrepancy, as you've just described
  - 6 that, would that periapical X-ray, the
  - 7 Panorex and the patient sitting in front

- 8 you, what options did you have at that point
- 9 in order to determine for sure which teeth
- 10 would be extracted?
- 11 MR. : This is
- 12 hypothetical because we don't know
- 13 which film he had or looking at at
- 14 the time. You have not laid a
- foundation on which one he would
- 16 rely on or whether it was both of
- 17 them.
- 18 A I'm sorry.
- 19 Q I want you to assume that Mrs.
- 20 , the patient's mother, was in the
- 21 room with you at the time that these
- 22 extractions were being done and that she has
- 23 testified that you continued throughout the
- 24 course of the extractions to refer to the
- 25 X-ray, the small X-rays that you have in
  <Page Break>
- 1 , D.D.S. 55
- 2 front of you together with the Panorex and
- 3 Dr. 's letter, was that consistent
- 4 with what you would expect to do in this
- 5 situation?
- 6 A Yes.
- 7 Q And that if you were unsure
- 8 about which tooth or teeth to remove that
- 9 you would have stopped and done something
- 10 else in order to verify a particular tooth

```
11
     and the anatomical structure where it was
12
   located?
             A As I said before, if I pulled
13
   teeth, I was certain of which teeth they
14
   were at the time. You know, I don't
15
16
   remember ever being uncertain about teeth.
             Q Then explain to me how you
17
18
   pulled the permanent canine teeth as opposed
19
   to removing the deciduous canine teeth?
                 MR. : His medical
20
            basis at that time. What was your
21
            understanding at that time looking
22
23
            at the films?
24
            A That was my understanding at
25 that time.
```

1	, D.D.S. 56
2	Q What was?
3	A That I was pulling the correct
4	teeth.
5	Q Did you learn before this
6	lawsuit was started did you learn you had
7	removed incorrect teeth or teeth that were
8	not intended to be removed?
9	A No.
10	Q Did you ever have a
11	conversation with Dr. after
12	January 10, ?
13	A No.

- 14 Q Did you ever have a
- 15 conversation with Dr. about the
- 16 teeth that you did remove?
- 17 A No.
- 18 Q Did you ever have a discussion
- 19 with any dentist at
- about the teeth that you had removed?
- 21 A No.
- 22 Q Dr. says in his
- evaluation, orthodontic evaluation to Dr.
- , he says there are bilateral
- 25 unerupted supernumerary first bicuspids.
  <Page Break>
- 1 , D.D.S. 57
- 2 What does that mean to you?
- 3 A It means that there are teeth
- 4 on either side of the lower jaw that are
- 5 extra and unerupted.
- 6 Q And unerupted means they are
- 7 below the skin line or gum line?
- 8 A Below the tissue, yes.
- 9 Q So you are not able to
- 10 visualize by looking at them?
- 11 A No.
- 12 Q You would only be able to see
- 13 them on X-ray?
- 14 A Yes.
- 15 Q Now, on the Panorex you pointed
- out to me the supernumerary first bicuspids

- on the lower jaw on both the left and right?
- 18 A Yes.
- 19 Q Okay. Do any of the X-rays
- 20 that you took that day or had taken that
- 21 day, show the area of the supernumerary
- first bicuspids, the areas you've identified
- 23 here on the Panorex?
- 24 A No.
- 25 Q The canine teeth that you have <Page Break>
  - 1 , D.D.S. 58
- 2 identified in the Panorex, are they erupted?
- 3 A You can't see tissue on the
- 4 X-rays. It seems that they are but I can't
- 5 say looking at them now, you know, that they
- 6 are erupted.
- 7 Q Are you able to compare the
- 8 other four bottom teeth, the ones in the
- 9 center, in relation to the canines that you
- 10 did remove as to whether or not you would
- 11 expect these to be erupted based upon how
- 12 they appear in the Panorex?
- 13 A They seem as they would be but
- 14 there is no guarantee that they were visual
- in the mouth at that time.
- 16 Q The supernumerary first
- 17 bicuspids on the lower left and right, those
- 18 clearly are unerupted on the Panorex?
- 19 A Yes.

- 20 Q And you would agree based upon
- 21 Dr. 's request that it was these
- teeth that were to be extracted on
- 23 January 10th on the day that you were
- 24 performing the extractions, correct?
- 25 MR. : Note my

- 1 , D.D.S. 59
- 2 objection. Can you at least let him
- 3 look at the order?
- 4 MR. OGINSKI: Yes.
- 5 MR. : Actually, the
- 6 other order.
- 7 A Yes, that's fine. I could see
- 8 it there on that referral. You know, these
- 9 teeth.
- 10 Q Just be specific which ones
- 11 you're pointing to.
- 12 A The supernumerary first
- 13 bicuspid.
- 14 Q Okay. So that was one of the
- sets of teeth that Dr. asked to
- be extracted, correct?
- 17 A Yeah.
- 18 Q And based upon the Panorex that
- 19 you identified, those are clearly unerupted,
- 20 right?
- 21 A Yes.
- 22 Q And did you extract those teeth

- 23 on January 10, ?
- 24 A No.
- Q Why not?

- 1 , D.D.S. 60
- 2 A I'm not sure. Those teeth are
- 3 still what appears to be under the bone.
- 4 That would actually make for a difficult
- 5 extraction. I don't know that I would do an
- 6 extraction.
- 7 Q Would you agree, Doctor, that
- 8 extracting, based upon the placement within
- 9 the bone as seen on the Panorex, that the
- 10 supernumerary first bicuspid on the left and
- 11 right would, in your words, be a difficult
- 12 extraction?
- 13 A Yes.
- 14 Q In that instance, it would be
- good dental care to refer the patient out to
- 16 an oral surgeon to have those teeth
- 17 extracted?
- 18 MR. : Note my
- 19 objection to form.
- 20 A Yes.
- 21 Q Why?
- 22 A You said it was a difficult
- extraction.
- Q Did you refer the patient to an
- oral surgeon to have those supernumerary

```
1
                             , D.D.S. 61
 2
       first bicuspids extracted?
 3
               Α
                    No.
                    Is there a reason why you did
 5
       not?
               Α
                    I'm not sure.
                    You've told me that you did not
       extract those teeth. Would you agree it
 9
       would be good dental practice to refer the
       patient to an oral surgeon to have those
10
       supernumerary first bicuspids extracted?
11
12
                    MR. : Objection. He
13
               just answered that question.
14
              Α
                    Yes.
                    Did you have a conversation
15
       with Mrs.
16
                     towards the end of the
       treatment visit that you told her that you
17
       extracted two additional teeth that was not
18
19
       requested to be removed?
20
              Α
                    I don't recall.
21
                    The assistant who was with you
       in the room at the time of these
22
23
       extractions, do you recall who that was?
24
                    No.
                    Do you recall if it was a man
               Q
<Page Break>
```

```
or a woman?
 3
              Α
                   No.
                    I want you to assume that Mrs.
           has testified that she was
       present in the room during the entire
       duration that you were extracting 's
       teeth and that there was a female assistant
       in the room, and that she had a young baby
10
       or child in the room at that time. Does
11
       that refresh your memory as to who that
       might have been?
12
13
              Α
                   No.
                    Mrs. has testified
14
15
       that this was a young child running in and
16
       out of the treatment room during the course
       of your extractions. Do you have any memory
17
       of that fact?
18
19
              Α
                   No.
                    Are you still employed at
20
21
22
              Α
                    No.
23
                    How long have you continued to
               Q
24
       work there?
25
                    How long did I continue to work
              Α
<Page Break>
```

, D.D.S. 63

there from this day?

Q From the time you started until

the time you left.

```
5
            A I'm not exactly sure. It was
 6
       not very long.
 7
                 Approximately?
             Q
             A A year, maybe, if that. Under
 9
       a year.
10
             Q After working there, where did
       you go?
11
12
             A I went to an office in
13
14
             Q
                What was that name?
15
            A It was doctors,
16
17
                 How long did you work there?
18
             Α
                 Approximately two-and-a-half,
19
      three years.
20
                   After that, where did you work?
             Q
21
                   I worked where I work
22
      currently.
23
                 Which is where?
24
                 My practice in
             A
             Q
                   What's the name of that?
<Page Break>
 1
                          , D.D.S. 64
 2
            Α
 3
                 Is that a professional
 5
       corporation or something else?
 6
                 It's a corporation.
 7
             Q What is your title there? Are
```

- 8 you an owner, president, shareholder or
- 9 something else?
- 10 A Owner, I guess. Owner and
- 11 president.
- 12 Q Now, you mentioned in January
- of you were working at a few other
- 14 offices?
- 15 A One of these was in here,
- sorry.
- 17 Q That's okay. You mentioned you
- 18 were working also in two other offices in
- January of , what were the other two
- 20 offices?
- 21 A I don't remember.
- Q Where were they?
- 23 A I don't know to be honest with
- you. Maybe
- 25 Q How long did you work in those <Page Break>
  - 1 , D.D.S. 65
- 2 other two offices?
- 3 A Around the same time.
- 4 Q And what was your general
- 5 responsibility for treating patients there?
- 6 A Same.
- 7 Q General dentist?
- 8 A Yeah.
- 9 Q By the way, when you treated
- 10 at , as a

- dentist who came in one or two days a week,
- 12 were you required to bring in your own
- 13 instruments?
- 14 A No.
- 15 Q So you used the office's
- 16 instruments?
- 17 A Yes.
- 18 Q Did you consult with any doctor
- 19 while caring for on January 10,
- 20 ?
- 21 A I believe I spoke with Dr.
- 22 that day but I'm not sure. I'm
- 23 being honest with you. I really don't
- 24 remember.
- 25 Q Why would you have spoken to <Page Break>
  - 1 , D.D.S. 66
- 2 him that day?
- 3 A I don't know if I spoke to him
- 4 or someone in his office. I'm not sure. I
- 5 vaguely remember calling his office. I
- 6 don't know why and I don't know if I spoke
- 7 to him.
- 8 Q Did you make a note of any
- 9 conversation you had with whoever was there?
- 10 A No.
- 11 Q Can you turn, please, to the
- office notes and I'd like you to read,
- 13 please, your entire note into the record.

- 14 And if there's an abbreviation, just tell me
- what it represents.
- 16 A Patient presents with
- 17 orthodontic extraction requests. Reviewed
- 18 medical history, no significant findings.
- 19 Three carpules of two percent Lidocaine.
- 20 One to 100,000 Epinephrine given. Consent
- 21 signed by mother. Numbers B, C, G, H, L, S and
- 22 22, 27 supernumeraries, as per orthodontic
- 23 request. No sutures. Post-op instructions
- 24 given. Next visit, recall.
- 25 Q Now, numbers 22 and 27, that <Page Break>
  - 1 , D.D.S. 67
  - 2 was your understanding of where the
  - 3 supernumerary first bicuspids were or is
- 4 that -- Tell me what the 22 and 27 refer to.
- 5 A That refers to me thinking that
- 6 there were supernumerary cuspid teeth
- 7 present.
- 8 Q Is it your understanding that
- 9 that, in fact, was not the case?
- 10 MR. : You mean as he
- 11 sits here today?
- 12 Q As you sit here now.
- 13 A Today, yes.
- 14 Q Have you spoken with any of
- 's treating doctors that she has seen
- after this treatment in January of ?

- 17 A No.
- 18 Q Have you reviewed any records
- 19 relating to 's ongoing dental care
- 20 and treatment?
- 21 A No.
- 22 Q Have you reviewed any of her
- 23 orthodontic dental records?
- 24 A No.
- 25 Q If you were to have referred a <Page Break>
- 1 , D.D.S. 68
- 2 patient to an oral surgeon, who would you
- 3 have referred them to? Again, this is back
- 4 in January of .
- 5 A I'm not sure.
- 6 Q Would you have asked somebody
- 7 in the office as to who the doctors
- 8 typically refer out to or did you have
- 9 somebody that you would personally refer to?
- 10 A I don't recall. But,
- 11 typically, when you work in an office, you,
- 12 I guess, refer to specials that that office
- 13 normally refers to.
- 14 Q Other than the note that you
- just read to me, did you do any charting on
- 16 any dental chart that you see here on the
- 17 first page of her notes?
- 18 A I don't see any additional
- 19 charting, no.

```
20 Q The first page that we're
```

- 21 looking at here, what is this page? Is this
- 22 a billing page, a treatment record? What is
- 23 that?
- 24 A I guess it could be both.
- 25 Q And is there anything contained <Page Break>
  - 1 , D.D.S. 69
  - on this page in your handwriting?
- 3 A Yeah, right here (indicating).
- 4 Q Can you tell me what you're
- 5 referring to?
- 6 A It says "XOB."
- 7 Q What is that?
- 8 A What?
- 9 Q "XOB"?
- 10 A That means extractions of tooth
- 11 в.
- 12 Q The column underneath lists?
- 13 A Other extractions that were
- 14 done.
- 15 Q And the letters refer to baby
- 16 teeth, correct?
- 17 A \$40.
- 18 Q That refers to baby teeth,
- 19 correct?
- 20 A Yes.
- 21 Q You treated this patient
- 22 without regard to whether or not the patient

- 23 had insurance or was paying out-of-pocket,
- 24 correct?
- 25 A I don't really deal with <Page Break>
  - 1 , D.D.S. 70
  - 2 insurance to verify that at all.
  - 3 Q In other words, patients before
  - 4 you, you're treating them, you're doing
- 5 whatever has to be done at that time,
- 6 correct?
- 7 A Yes.
- 8 Q And they deal with the payment
- 9 issues after they leave you?
- 10 A Before or after. I don't know
- 11 how that office operated.
- 12 Q The informed consent that is
- 13 typically given to the patient before
- 14 extractions are done, who gives them that
- 15 consent; is it a nurse, an assistant or
- 16 something else?
- 17 A Usually an assistant but, you
- 18 know, I usually review it at some point
- 19 before to make sure it's signed.
- 20 Q Okay. And do you do that in
- 21 consultation?
- 22 A Any surgical procedure.
- 23 Q In this particular instance,
- 24 did you have the patient's mother sign the
- 25 consent form?

```
1
                             , D.D.S. 71
 2
              Α
                    Yes.
 3
                    And how long was your
       discussion with her about consent?
                    I don't recall.
 5
                     Did you discuss with her the
        risks of extractions?
 8
              Α
                    Typically I do.
 9
                     Do you have a specific memory
       of having a conversation with Ms.
10
11
       about 's proposed extractions?
12
                    I don't recall having a
       conversation but typically I discuss the
13
       consent form with the person before they
14
15
       sign it; be it the patient or the mother. I
16
       ask them if they have any questions and go
       over anything that may be pertinent to the
17
       type of surgery we're doing that day.
18
19
                    Focusing specifically on this
20
       patient on January 10, , when you spoke
21
       to the patient's mom, was
                                    in the
22
       room at the same time?
23
                    I don't recall.
24
                    Did you specifically tell mom
       what teeth were going to be extracted?
<Page Break>
```

```
MR. : Objection. He
 2
 3
               just said he does not have a
               specific recollection. He testified
 4
              to his practice, now you're
 5
               following up.
                    MR. OGINSKI: I'm just probing
               a little bit.
                    MR. : You're trying to
10
              refresh his recollection.
11
                    MR. OGINSKI: Fair enough.
                    Doctor, as you sit here now, do
12
       you have a memory of talking to Mrs.
13
14
           about the specific teeth you were
15
       going to be removing?
16
              Α
                    No.
17
                    Let's go back, please, to Dr.
18
           's request to extract teeth. Is
       there anything in his letter that is
19
20
       ambiguous about which teeth he wanted
21
       removed?
22
              Α
                    No.
23
                    Was it your understanding that
       the reason to extract these particular teeth
24
25
       was because of overcrowding?
<Page Break>
```

1 , D.D.S. 73

2 A Yes.

3 Q You went to dental school?

```
4
                    Yes.
              Q
                    When did you graduate?
              Α
                    Started in
                    Yes.
              Α
                    And went to
              Q
10
       undergrad?
11
                    Yes.
12
              Q
                    And after
13
       you told me you did a little bit more than a
14
       year in residency?
15
                               Hospital.
              Α
16
                   After that, did you do any
17
       additional training?
                    Training in?
18
              Α
19
                   In anything.
              Q
20
                    Yeah, we're required to take a
21
       certain number of credits per year of
22
       continuing education which I keep up with.
23
                  And you're a member of certain
       dental societies?
24
              A
                  Yes, the , the
<Page Break>
```

```
Q Are you an officer of any of those organizations?

A No. I'm also a member of the
```

```
7
                   Have you written in the field
        of dentistry for any publications?
 9
               Α
                     No.
10
                     Have you published any peer
        review in the field of dentistry?
11
12
               Α
                     No.
13
                     Have you lectured to any body
14
        of dentists?
15
                     No.
16
               Q
                     Have you ever testified before?
17
               Α
                     No.
                     Do you know Dr.
18
19
               Α
                     I don't know.
20
                     How many dentists worked at
21
            during the time that
22
        you worked there?
23
                     I have no idea.
24
                     Can you approximate, please.
25
                     No.
<Page Break>
```

```
2 Q Now, did you ask the patient to
3 return for follow-up after January 10, ?
4 A It says, next visit, recall.
5 Q What does that mean?
6 A Recall appointment, follow-up.
7 Q As far as you know, did the
8 patient ever return to
```

?

, D.D.S. 75

1

```
10 A According to the chart records,
```

- 11 they returned in May of that year.
- 12 Q Are you able to tell who saw
- 13 the patient that time?
- 14 A No.
- Q Was the patient examined at
- 16 that time?
- 17 A I don't know. I wasn't there.
- 18 Q Based upon the record you have
- in front of you.
- 20 A It's illegible to me. I don't
- 21 know exactly what that is.
- 22 MR. : Off the record.
- 23 (At this time, a brief
- 24 discussion was held off the record.)
- 25 Q Doctor, the treatment above <Page Break>
- 1 , D.D.S. 76
- 2 yours in the chart, when is the last date
- 3 that's reported there that the patient was
- 4 in that office?
- 5 A '.
- 6 Q What was the exact date,
- 7 please?
- 8 A 6/24/.
- 9 Q What was done on that date?
- 10 A I believe sealants were done.
- 11 Q Did you ever learn from anyone
- 12 except your attorney about the specific

- 13 reason why did not return to the
- 14 office after
- 15 January 10, ?
- 16 A No.
- 17 Q Did you ever have a phone
- 18 conversation with either or her
- 19 mother shortly after your treatment of
- on January 10th?
- 21 A Not that I know of.
- MR. OGINSKI: Off the record.
- 23 (At this time, a brief
- 24 discussion was held off the record.)
- 25 Q How long did it take you to <Page Break>
- 1 , D.D.S. 77
- perform all these extractions on
- 3 January 10th?
- 4 A I don't recall.
- 5 Q What did you do with the teeth
- 6 that you extracted? Did you send them to
- 7 pathology? Did you throw them out? What
- 8 did you do with them?
- 9 A I don't remember.
- 10 Q Did you give the teeth to the
- 11 patient or to her mother?
- 12 A Typically, I don't do that
- 13 because, you know, they're sources of
- infection normally.
- 15 Q I want you to assume that Mrs.

```
16
         has testified that after these
17
       extractions were done you gave her or her
18
       daughter all the teeth that you had
       extracted. Does that refresh your memory?
19
20
             A No.
21
                  MR. OGINSKI: Thank you.
22
                   MR. : I guess we'll be
23
              doing a D&I for those.
24
                  MR. OGINSKI: You guys saw
25
              them. The patient produced them.
<Page Break>
                           , D.D.S. 78
 1
 2
              Thank you.
 3
                  MR. : No questions.
              Thank you.
 4
                   (Time noted: 11:57 a.m.)
 5
 6
 7
                                         , DDS
9
10
          Subscribed and sworn to before me
11
           this ____ day of ______, .
12
13
14
15
         NOTARY PUBLIC
16
17
```

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14			
15			
16			
17			
18			
19			
20			

```
23
24
25
<Page Break>
1
                                               80
       ERRATA SHEET FOR THE TRANSCRIPT OF:
       Case Name:
                   VS
               , DDS
 3
       Date:
                 March 23,
 4
       Witness:
                            , DDS
 5
                        CORRECTIONS
 6
       PG LN NOW READS SHOULD READ REASON FOR
7
 8
9
10
11
12
13
14
15
16
17
18
19
20
21
                                         , D.D.S.
22
23
       Subscribed and sworn to before me
       this \_ day of \_ .
24
```

1	81
2	CERTIFICATION
3	I, , a Notary Public in
4	and for the State of New York, do hereby certify:
5	THAT the witness whose testimony is herein
6	before set forth, was duly sworn by me; and
7	THAT the within transcript is a true record
8	of the testimony given by said witness.
9	I further certify that I am not related,
10	either by blood or marriage, to any of the parties
11	to this action; and
12	THAT I am in no way interested in
13	the outcome of this matter.
14	IN WITNESS WHEREOF, I have hereunto
15	set my hand this 6th day of April, 2012.
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	